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|-------|----------------------------|---------|------------|------------------------|------------------|--|
|       |                            |         |            | Application Number     | 09/844,864       |  |
| 11    | <b>IFORMATION</b>          | I DI    | SCLOSURE   | Filing Date            | April 27, 2001   |  |
| S     | TATEMENT E                 | 3Y /    | APPLICANT  | First Named Inventor   | Martin M. Matzuk |  |
|       |                            |         |            | Art Unit               | 1637             |  |
|       | (use as many she           | eets as | necessary) | Examiner Name          | C. B. Wilder     |  |
| Sheet | 1                          | of      | 1          | Attorney Docket Number | HO-P01925US2     |  |

|                       |              |   | U.S. PA                        | TENT DOCUMENTS                                  |  |
|-----------------------|--------------|---|--------------------------------|---|--|
| Examiner<br>Initials* | Cite<br>No.1 | Document Number  Number-Kind Code <sup>2</sup> (il known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |
|                       |              |   |                                |   |  |

|          |      | FOREI   | GN PATENT        | DOCUMENTS                   |  |    |
|----------|------|---|------------------|-----------------------------|--|----|
| Examiner | Cite | Foreign Patent Document   | Publication Date | Name of Patentee or         | Pages, Columns, Lines,<br>Where Relevant |    |
|          | No.1 | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) | MM-DD-YYYY       | Applicant of Cited Document | Passages or Relevant<br>Figures Appear   | T⁵ |
|          | BA   | WO-94/15966   | 07-21-1994       | John Hopkins University     |  |    |
| LW       |      |   |                  | School of Medicine          |  | -  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>3</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

|                      |                | NON PATENT LITERATURE DOCUMENTS  |    |
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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PTO/SB/08A (10-01)

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| INF      | ORMATION                      | DIS      | SCLOSURE   | Filing Date            | April 27, 2001   |  |
| STA      | ATEMENT E                     | BY A     | PPLICANT   | First Named Inventor   | Martin M. Matzuk |  |
|          |                               |          |            | Art Unit               | 1637             |  |
|          | (use as many she              | ets as i | necessary) | Examiner Name          | C. B. Wilder     |  |
| Sheet    | 1                             | of       | 1          | Attorney Docket Number | HO-P01925US2     |  |

| U.S. PATENT DOCUMENTS |              |   |                                |   |  |  |
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| Examiner<br>Initials* | Cite<br>No.1 | Document Number  Number-Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |  |
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| Examiner<br>Initials* | No.1 |                         | MM-DD-YYYY       | Applicant of Cited Document |  | T <sup>6</sup> |
|                       |      |                         |                  |                             |  | 1              |

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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